

# Sparsity-based Sound Field Reconstruction

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# Spatial Sound Recording and Reproduction

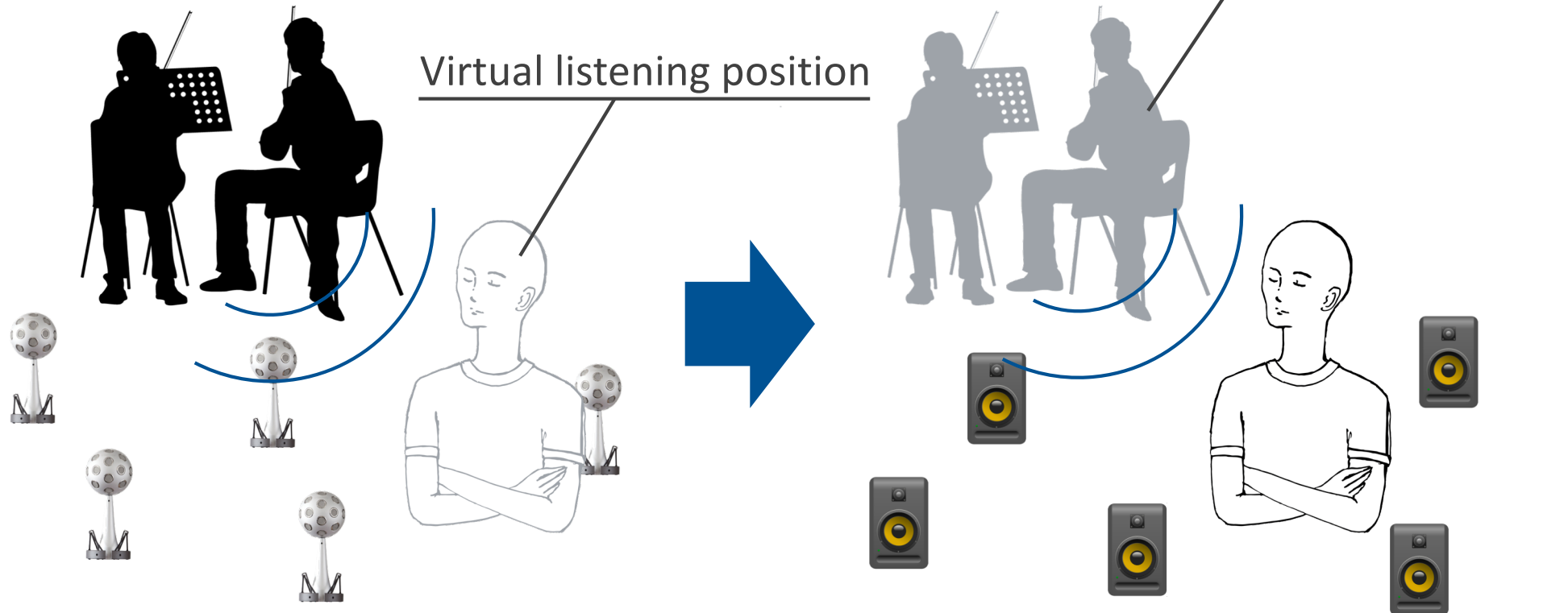
*How to capture and reproduce physically correct sound field?*

Recording area

Target area

Reproduced sound image

Virtual listening position



# Real-time Sound Field Transmission System

System developed while I worked at NTT [Koyama+ IEICE Trans 2014]

Kanagawa



Network



Tokyo

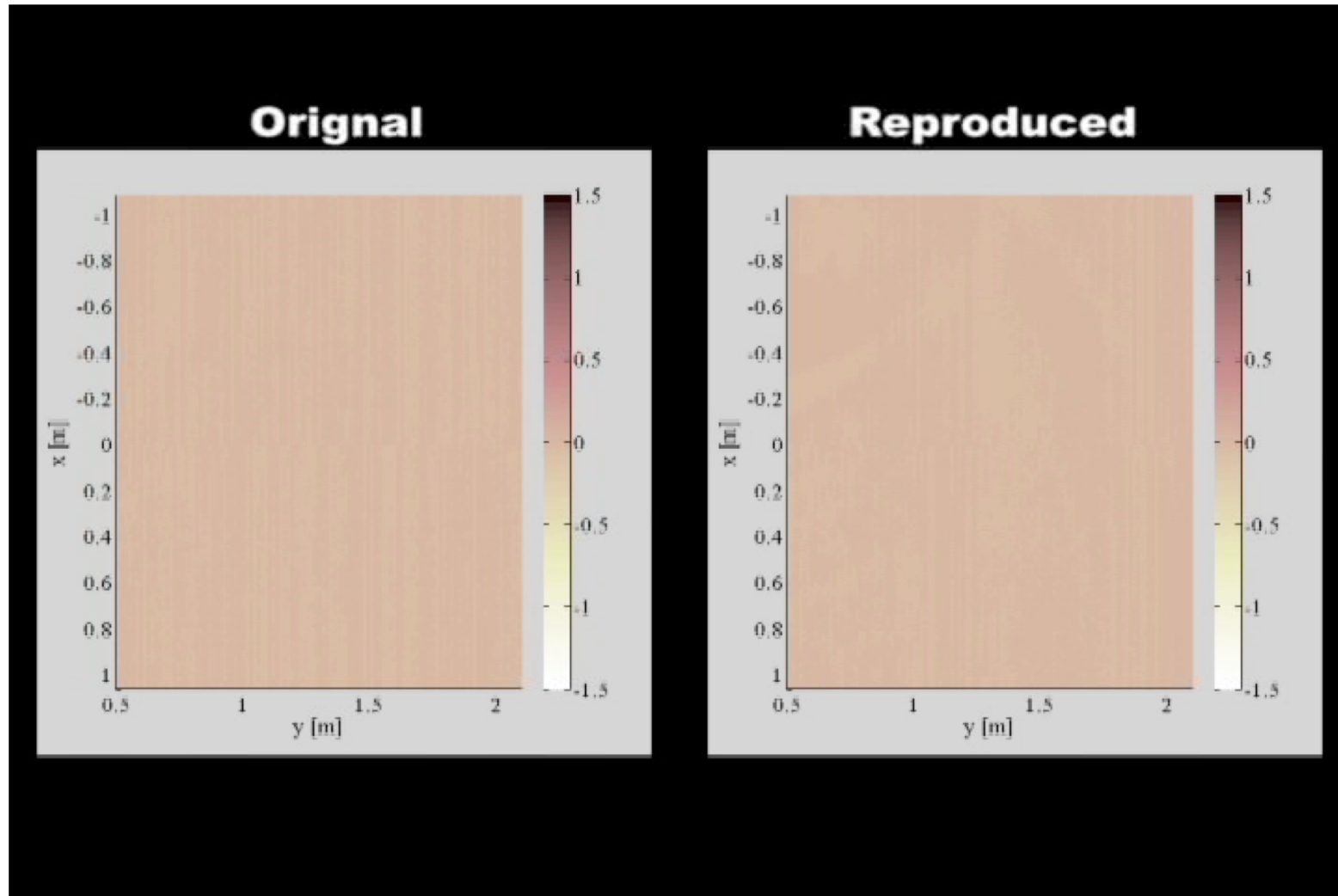


- Loudspeakers (for high freq.): 64, 6cm intervals
- Loudspeakers (for low freq.): 32, 12cm intervals
- Microphones: 64, 6cm intervals
- Array size: 3.84 m
- Sampling freq.: 48 kHz, Delay: 152 ms



# Visualization of Reproduced Sound Field

- Source signal: Low-passed pulse (0 – 2.6kHz)
- Source: Loudspeaker, Position: (-1.0, -1.0, 0.0) m

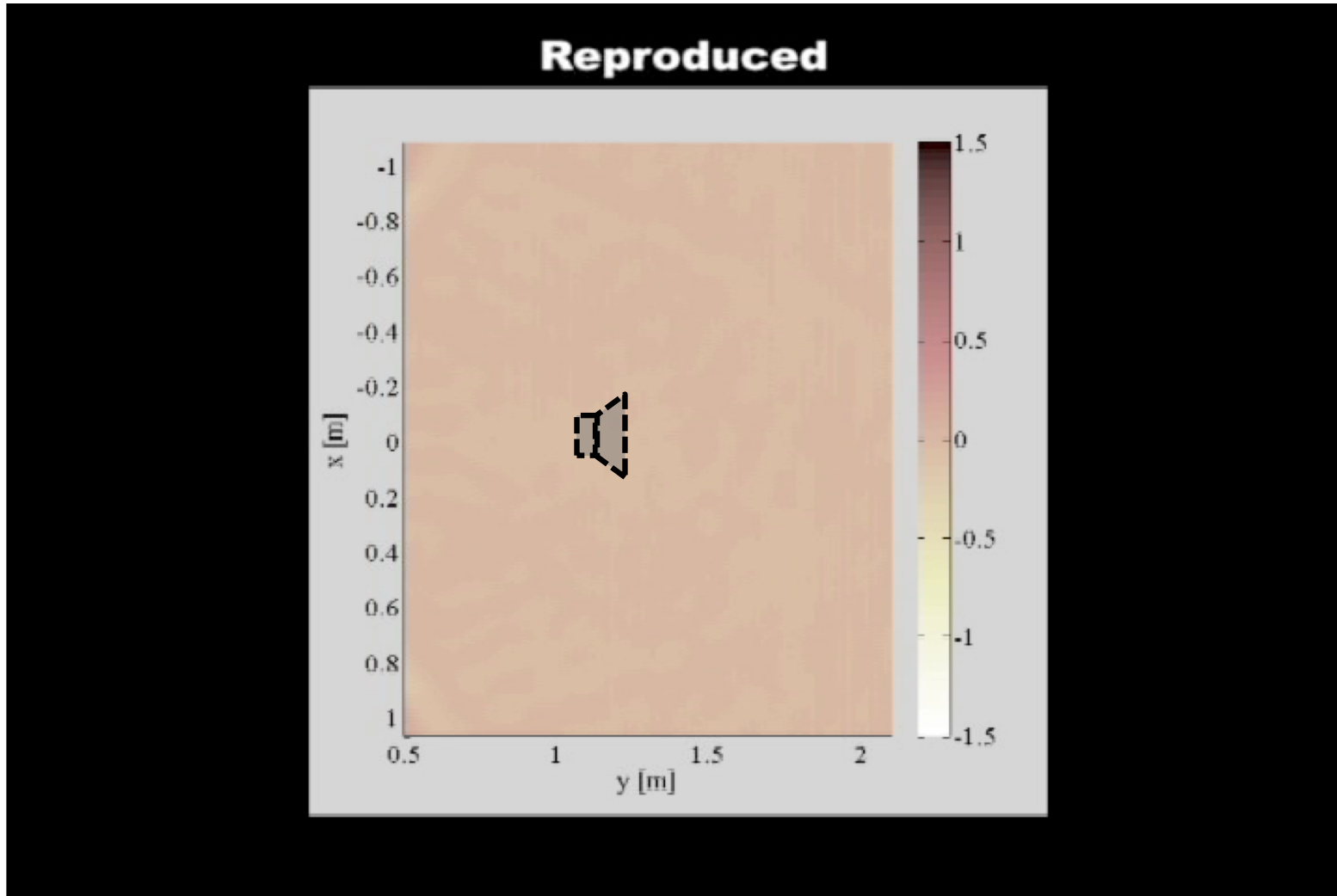


[Koyama+ IEEE TASLP 2013]



# Visualization of Reproduced Sound Field

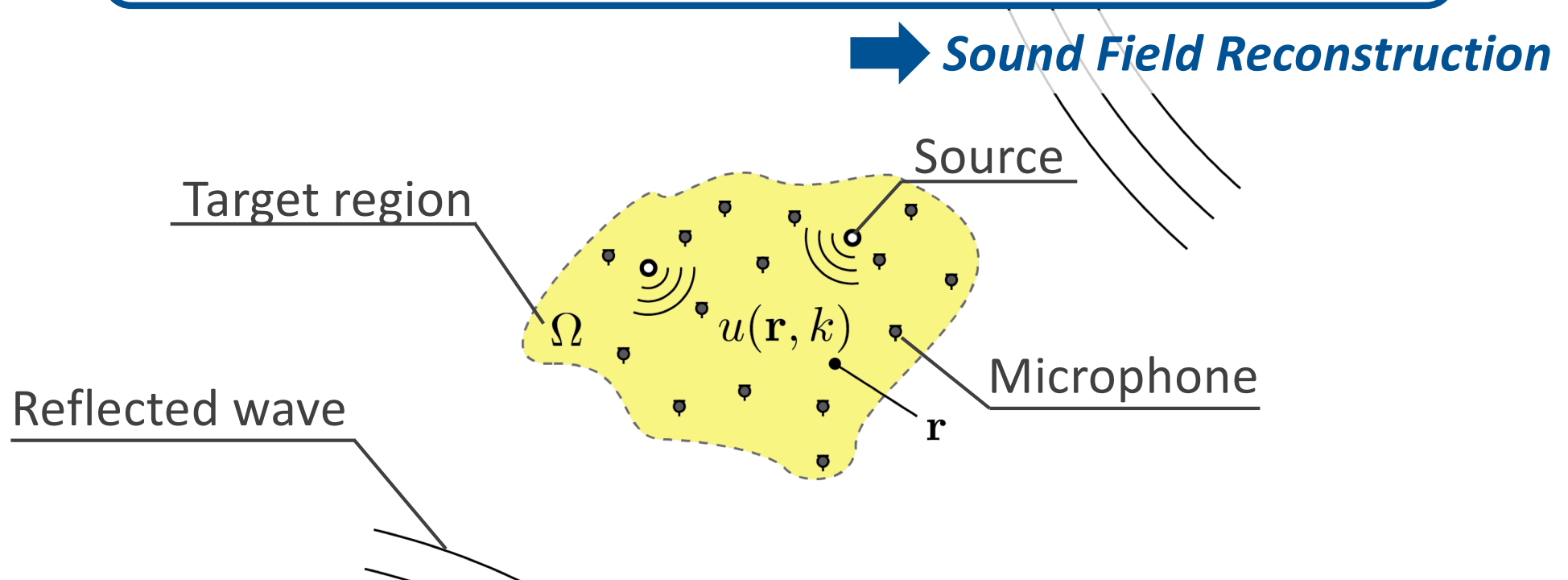
- Source signal: Low-passed pulse (0 – 2.6kHz)
- Source: Loudspeaker, Position: (0.0, -1.0, 0.0) m, 2.0 m forward shift



[Koyama+ IEEE TASLP 2013]

# Today's Topic

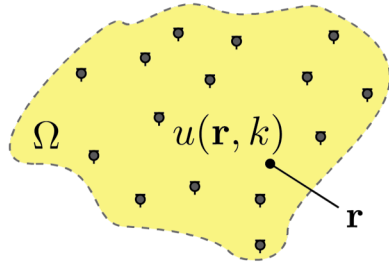
*How to estimate and interpolate continuous sound field from measurements of multiple microphones?*



**Goal: Estimate continuous  $u(\mathbf{r}, k)$  inside  $\Omega$  by using pressure measurements  $u(\mathbf{r}_m, k)$  ( $m \in \{1, \dots, M\}$ )**

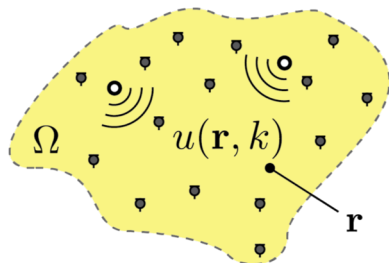
➡ **Visualization, reproduction by loudspeakers/headphones etc...**

# Sound Field Reconstruction



## ➤ Target region does NOT include any sources

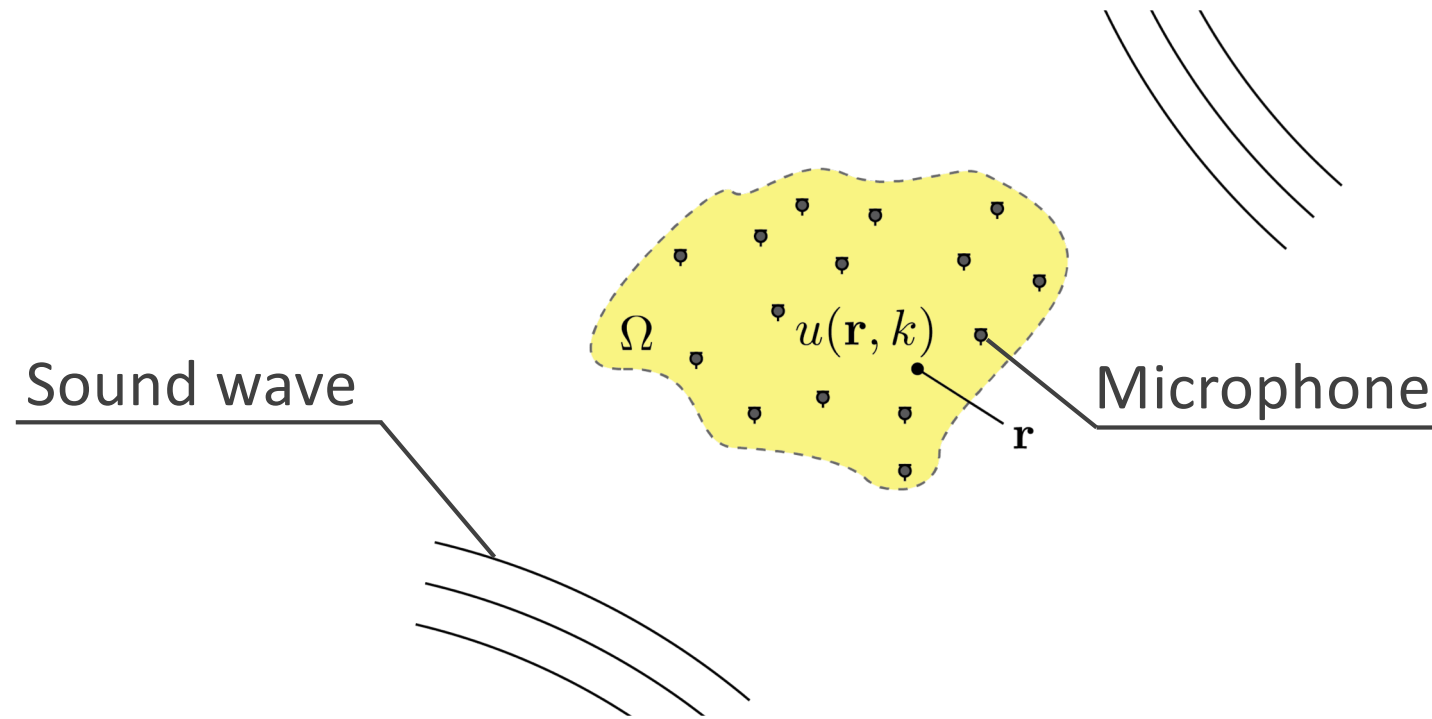
- Interpolation with constraint of homogeneous Helmholtz eq.
- Decomposition of captured sound field into plane-wave or harmonic functions: ***sound field decomposition***



## ➤ Target region includes some sources

- *ill-posed problem!*
- Some assumptions must be imposed on source distribution

# Homogeneous Sound Field Reconstruction



- Sound field inside source-free region

➡  $u(\mathbf{r}, k)$  satisfies homogeneous Helmholtz eq.

$$\left\{ \begin{array}{l} (\nabla^2 + k^2)u(\mathbf{r}, k) = 0 \\ \text{Unknown boundary condition on room surface} \end{array} \right.$$

# Homogeneous Sound Field Reconstruction

## *Decomposition into element solutions of Helmholtz eq.*

- Plane-wave function (Herglotz wave function)

$$u(\mathbf{r}) = \int_{\boldsymbol{\eta} \in \mathbb{S}^2} \gamma(\boldsymbol{\eta}) \underline{e^{jk\langle \mathbf{r}, \boldsymbol{\eta} \rangle}} d\boldsymbol{\eta}$$

- Spherical wave function

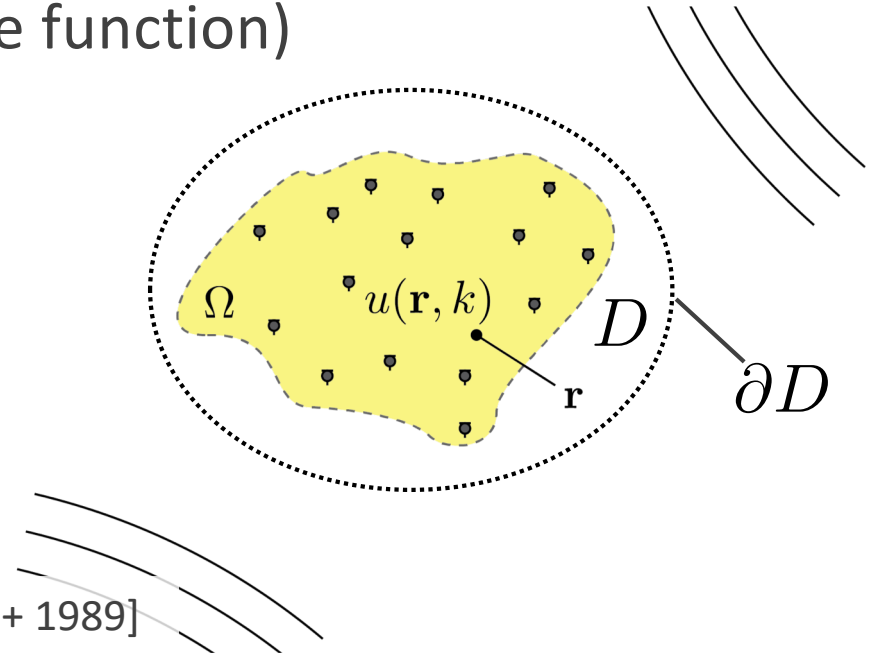
$$u(\mathbf{r}) = \sum_{\nu=0}^{\infty} \sum_{\nu=-\mu}^{\mu} \alpha_{\nu}^{\mu} \underline{j_{\nu}(kr) Y_{\nu}^{\mu}(\theta, \phi)}$$

- Equivalent source method [Koopmann+ 1989]

$$u(\mathbf{r}) = \int_{\mathbf{r}' \in \partial D} \psi(\mathbf{r}') \underline{G(\mathbf{r}|\mathbf{r}')} d\mathbf{r}' : \text{single layer potential}$$

$$\text{Free-field Green's func.: } G(\mathbf{r}|\mathbf{r}') = \frac{e^{jk\|\mathbf{r}-\mathbf{r}'\|_2}}{4\pi\|\mathbf{r}-\mathbf{r}'\|_2}$$

[Colton+ 2013]





# Harmonic Analysis of Infinite Orders

[Ueno+ IEEE SPL 2018]

## ➤ Spherical wave function expansion

$$u(\mathbf{r}) = \sum_{\nu=0}^{\infty} \sum_{\mu=-\nu}^{\nu} \alpha_{\nu}^{\mu}(\mathbf{r}_0) \varphi_{\nu}^{\mu}(\mathbf{r} - \mathbf{r}_0)$$

Expansion center

$$\varphi_{\nu}^{\mu}(\mathbf{r}) = \sqrt{4\pi} j_{\nu}(kr) Y_{\nu}^{\mu}(\theta, \phi)$$

Spherical harmonic function

## ➤ Representation by infinite vectors

$$u(\mathbf{r}) = \boldsymbol{\alpha}(\mathbf{r}_0)^{\top} \boldsymbol{\varphi}(\mathbf{r} - \mathbf{r}_0)$$

- Coefficient vector:  $\boldsymbol{\alpha}(\mathbf{r}_0) \in \mathbb{C}^{\infty}$
- Basis-function vector:  $\boldsymbol{\varphi}(\mathbf{r} - \mathbf{r}_0) \in \mathbb{C}^{\infty}$

# Harmonic Analysis of Infinite Orders

[Ueno+ IEEE SPL 2018]

- Measurement by  $m$ th microphone at  $\mathbf{r}_m$  with directivity of  $c_m(\theta, \phi)$  is represented as

$$\begin{aligned}
 s_m &= \sum_{\nu=0}^{\infty} \sum_{\mu=-\nu}^{\nu} c_{m,\nu}^{\mu*} \alpha_{\nu}^{\mu}(\mathbf{r}_0) + \epsilon_m \\
 &= \mathbf{c}_m^H \boldsymbol{\alpha}(\mathbf{r}_m) + \epsilon_m \quad \text{: Representation by infinite vectors} \\
 &= \mathbf{c}_m^H \mathbf{T}(\mathbf{r}_m - \mathbf{r}_0) \boldsymbol{\alpha}(\mathbf{r}_0) + \epsilon_m
 \end{aligned}$$

Measurement noise

Expansion coefficient of  $c_m(\theta, \phi)$

Translation matrix from  $\mathbf{r}_0$  to  $\mathbf{r}_m$  [Martin 2006]

- Stacking  $M$  measurements:  $\mathbf{s} = [s_1, \dots, s_M]^T$ ,  $\boldsymbol{\epsilon} = [\epsilon_1, \dots, \epsilon_M]^T$

$$\mathbf{s} = \mathbf{\Xi}(\mathbf{r}_0)^H \boldsymbol{\alpha}(\mathbf{r}_0) + \boldsymbol{\epsilon}$$

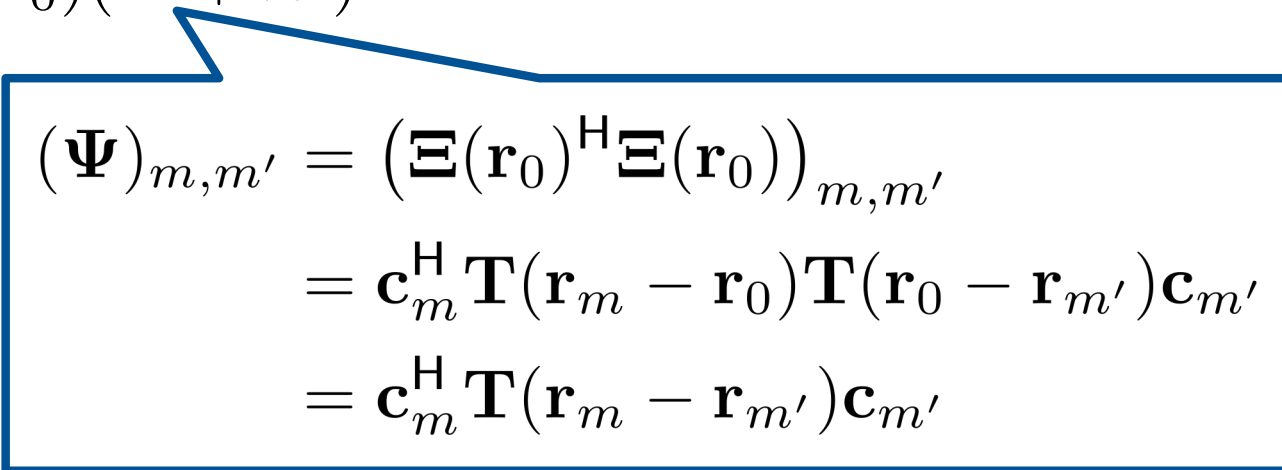
$$\begin{aligned}
 \mathbf{\Xi}(\mathbf{r}_0) &= [(\mathbf{c}_1^H \mathbf{T}(\mathbf{r}_1 - \mathbf{r}_0))^H, \dots, (\mathbf{c}_M^H \mathbf{T}(\mathbf{r}_M - \mathbf{r}_0))^H] \\
 &= [\mathbf{T}(\mathbf{r}_1 - \mathbf{r}_0) \mathbf{c}_1, \dots, \mathbf{T}(\mathbf{r}_M - \mathbf{r}_0) \mathbf{c}_M]
 \end{aligned}$$

# Harmonic Analysis of Infinite Orders

[Ueno+ IEEE SPL 2018]

- Expansion coef. at  $\mathbf{r}_0$  is estimated as

$$\hat{\alpha}(\mathbf{r}_0) = \Xi(\mathbf{r}_0)(\Psi + \lambda\mathbf{I})^{-1}\mathbf{s}$$


$$\begin{aligned}(\Psi)_{m,m'} &= (\Xi(\mathbf{r}_0)^H \Xi(\mathbf{r}_0))_{m,m'} \\ &= \mathbf{c}_m^H \mathbf{T}(\mathbf{r}_m - \mathbf{r}_0) \mathbf{T}(\mathbf{r}_0 - \mathbf{r}_{m'}) \mathbf{c}_{m'} \\ &= \mathbf{c}_m^H \mathbf{T}(\mathbf{r}_m - \mathbf{r}_{m'}) \mathbf{c}_{m'}\end{aligned}$$

➡ Dependency on expansion center  $\mathbf{r}_0$  is removed

- Expansion coef. at arbitrary position  $\mathbf{r}$  :

$$\underline{\hat{\alpha}(\mathbf{r}) = \Xi(\mathbf{r})(\Psi + \lambda\mathbf{I})^{-1}\mathbf{s}}$$

**Expansion coef. at arbitrary position can be estimated independently of truncation and expansion center**

# Conventional Harmonic Analysis

[Laborie+ 2003, Samarasinghe+ 2014]

- Spherical wave function expansion w/ truncation

$$u(\mathbf{r}) \approx \sum_{\nu=0}^N \sum_{\mu=-\nu}^{\nu} \alpha_{\nu}^{\mu}(\mathbf{r}_0) \varphi_{\nu}^{\mu}(\mathbf{r} - \mathbf{r}_0) \quad : \text{approx. by truncation}$$

- Microphone measurements

$$\mathbf{s} = \bar{\mathbf{\Xi}}(\mathbf{r}_0)^H \bar{\boldsymbol{\alpha}}(\mathbf{r}_0) + \boldsymbol{\epsilon} \quad \begin{cases} \bar{\boldsymbol{\alpha}}(\mathbf{r}_0) \in \mathbb{C}^{(N+1)^2} \\ \bar{\mathbf{\Xi}}(\mathbf{r}_0) \in \mathbb{C}^{(N+1)^2 \times M} \end{cases}$$

- Estimate of expansion coef. at  $\mathbf{r}$

$$\hat{\boldsymbol{\alpha}}(\mathbf{r}) = \bar{\mathbf{T}}(\mathbf{r} - \mathbf{r}_0) \underbrace{\bar{\mathbf{\Xi}}(\mathbf{r}_0) (\bar{\mathbf{\Xi}}(\mathbf{r}_0)^H \bar{\mathbf{\Xi}}(\mathbf{r}_0) + \lambda \mathbf{I})^{-1} \mathbf{s}}_{\text{Estimate of } \bar{\boldsymbol{\alpha}}(\mathbf{r}_0)}$$

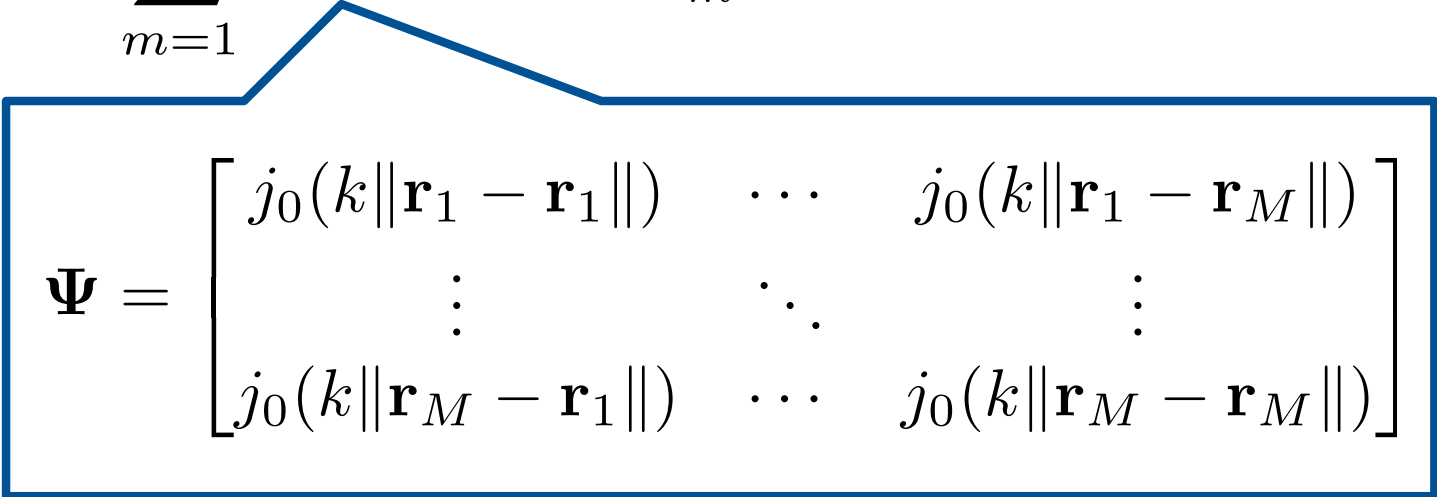
**Setting of appropriate truncation order and expansion center is necessary**

# Relation to kernel ridge regression

[Ueno+ IEEE SPL 2018, IWAENC 2018]

- Harmonic analysis of infinite orders for pressure microphone case ( $c_{m,\nu}^\mu = \delta_{\nu,0}\delta_{\mu,0}$ )

$$\begin{aligned}\hat{u}(\mathbf{r}) &= \boldsymbol{\varphi}(\mathbf{r} - \mathbf{r}_0)^\top \boldsymbol{\Xi}(\mathbf{r}_0) (\boldsymbol{\Psi} + \lambda \mathbf{I})^{-1} \mathbf{s} \\ &= \sum_{m=1}^M \left( (\boldsymbol{\Psi} + \lambda \mathbf{I})^{-1} \mathbf{s} \right)_m j_0(k \|\mathbf{r} - \mathbf{r}_m\|_2)\end{aligned}$$


$$\boldsymbol{\Psi} = \begin{bmatrix} j_0(k \|\mathbf{r}_1 - \mathbf{r}_1\|) & \cdots & j_0(k \|\mathbf{r}_1 - \mathbf{r}_M\|) \\ \vdots & \ddots & \vdots \\ j_0(k \|\mathbf{r}_M - \mathbf{r}_1\|) & \cdots & j_0(k \|\mathbf{r}_M - \mathbf{r}_M\|) \end{bmatrix}$$

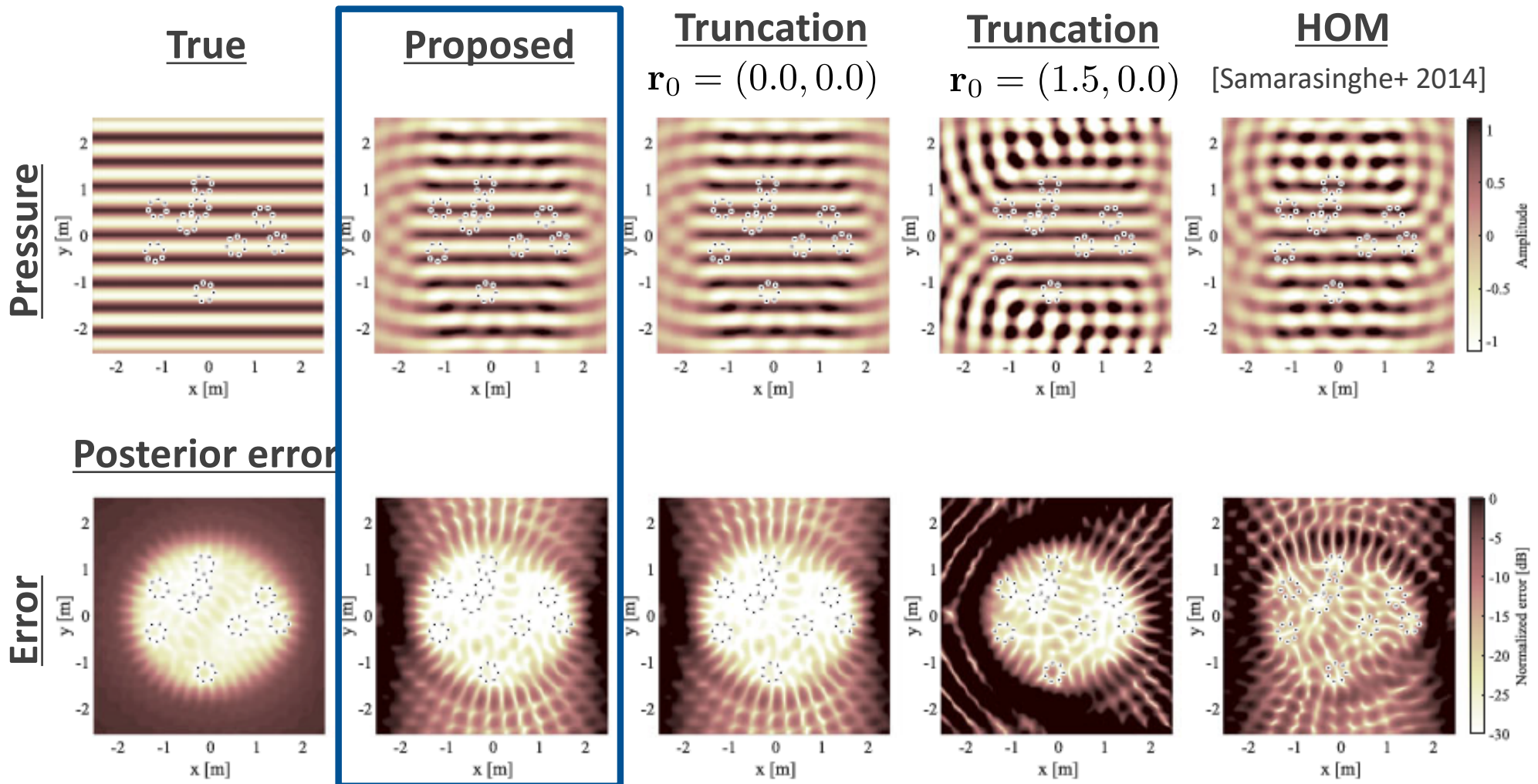
**Correspond to kernel ridge regression with kernel function of 0th-order spherical Bessel function**



# Harmonic Analysis of Infinite Orders

## ➤ Simulation in 2D for estimating plane wave field (650 Hz)

[Ueno+ IEEE SPL 2018]



High reconstruction accuracy comparable to the conventional method w/ optimal truncation order and expansion center is achieved

# Sparse Plane-wave Decomposition

- Representation by overcomplete plane-wave basis functions ( $L \gg M$ )

$$u(\mathbf{r}) \approx \sum_{l=1}^L \gamma_l e^{j\mathbf{k}_l^T \mathbf{r}} \quad (\mathbf{k}_l : \text{wave vector of } l \text{ th plane wave})$$

➡ **A limited number of nonzero  $\gamma_l$  is sufficient for approximation**

Sound field in a certain star-shaped region can be well approximated by a limited number of plane waves [Moiola+ 2011]

- Matrix form by using dictionary matrix  $\mathbf{W} \in \mathbb{C}^{M \times L}$  consisting of plane-wave functions

$$\mathbf{y} = \mathbf{W}\mathbf{p} + \mathbf{e} \quad \begin{cases} \mathbf{y} = [u(\mathbf{r}_1), \dots, u(\mathbf{r}_M)]^T \\ \mathbf{p} = [\gamma_1, \dots, \gamma_L]^T \end{cases}$$

# Sparse Plane-wave Decomposition

- Sparse approximation by plane-wave dictionary matrix

The diagram illustrates the equation  $\mathbf{y} = \mathbf{W}\mathbf{p} + \mathbf{e}$ . Vector  $\mathbf{y}$  is an 8x1 column of colored squares. Matrix  $\mathbf{W}$  is an 8x16 grid of colored squares. Vector  $\mathbf{p}$  is a 16x1 column of squares, mostly white with a few colored ones. Vector  $\mathbf{e}$  is an 8x1 column of colored squares. The equation is shown with an equals sign and a plus sign.

- Optimization problem for sparse approximation

$$\underset{\mathbf{p}}{\text{minimize}} \quad \frac{1}{2} \|\mathbf{y} - \mathbf{W}\mathbf{p}\|_2^2 + \lambda \|\mathbf{p}\|_p^p \quad (0 < p \leq 1)$$

Penalty term of  $\ell_p$ -(quasi) norm for promoting sparsity of  $\mathbf{p}$

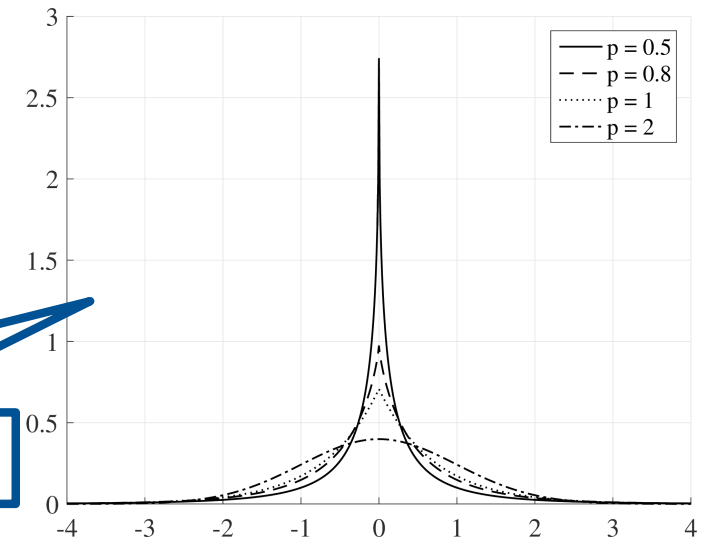
# Sparse Plane-wave Decomposition

## ➤ Generalized Gaussian distribution (GGD)

– P.d.f. of GGD

$$f(u; p, \beta) = \frac{p}{2 \sqrt[p]{2} \beta \Gamma\left(\frac{1}{p}\right)} e^{-\frac{|u|^p}{2\beta^p}}$$

**$p$  controls the shape of p.d.f.**



## ➤ MAP estimation w/ prior distribution of GGD

$$p(\mathbf{x}) = \left( \frac{p}{2 \sqrt[p]{2} \beta \Gamma\left(\frac{1}{p}\right)} \right)^N \exp \left( -\frac{1}{2\beta^p} \sum_n |x_n|^p \right) \quad \text{: Prior distribution}$$

$$\Rightarrow \mathbf{x}_{\text{MAP}} = \arg \min_{\mathbf{x}} \|\mathbf{y} - \mathbf{D}\mathbf{x}\|_2^2 + \frac{\sigma^2}{\beta^p} \sum_n |x_n|^p$$

**Identical to  $\ell_p$ -norm penalty**

# Sparse Plane-wave Decomposition

- Sparse approximation by plane-wave dictionary matrix

$$\mathbf{y} = \mathbf{W}\mathbf{p} + \mathbf{e}$$

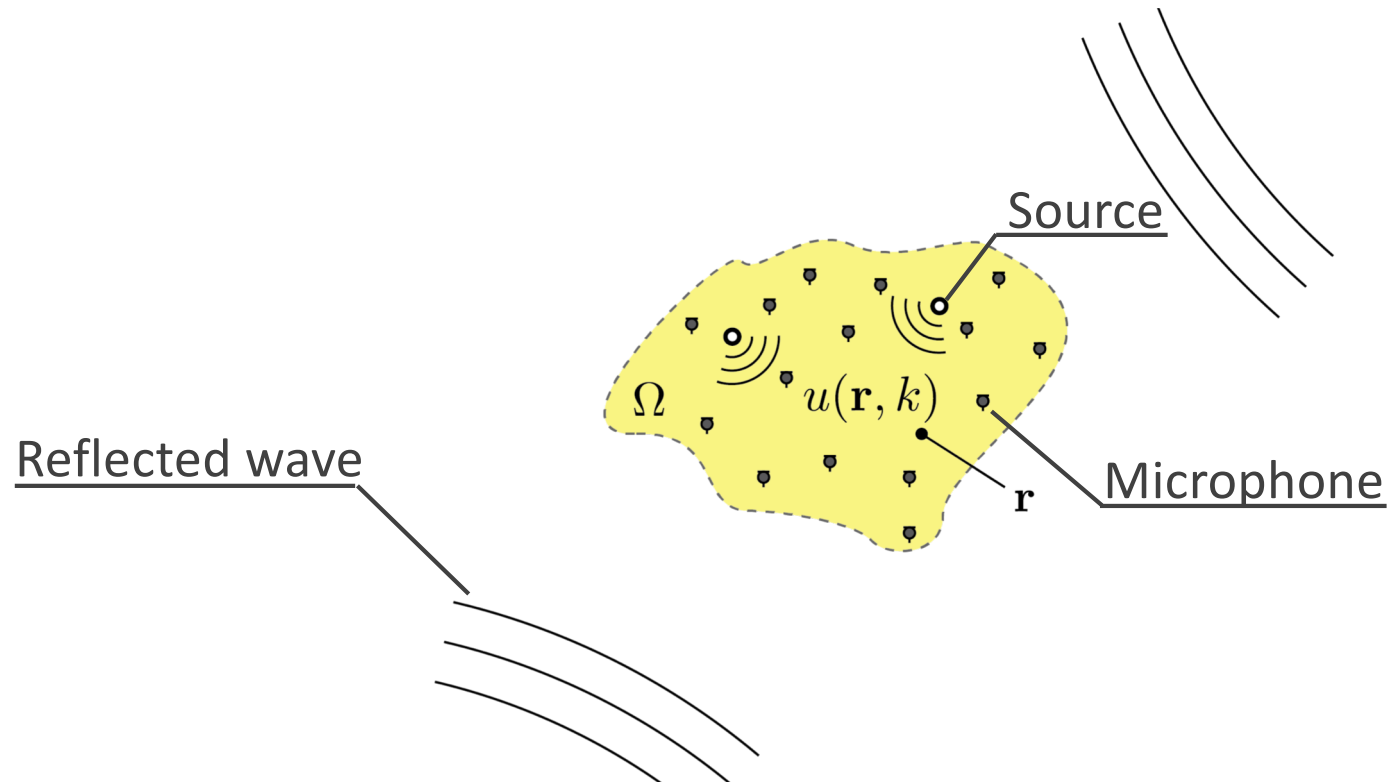
➡ **Improve spatial resolution in sound field reconstruction**

- Application of sparse plane-wave decomposition

- DOA estimation [Malioutov+ 2005]
- Nearfield acoustic holography [Chardon+ 2012]
- Estimation of acoustic transfer functions [Mignot+ 2013]
- Upscaling of ambisonics coefficients [Wabnitz+ 2013]
- Multizone sound field control [Jin+ 2015]
- Exterior and interior sound field separation [Takida+ 2018]



# Inhomogeneous Sound Field Reconstruction



- Sound field inside region including sources

➡  $u(\mathbf{r}, k)$  satisfies inhomogeneous Helmholtz eq.

$$\left\{ \begin{array}{l} (\nabla^2 + k^2)u(\mathbf{r}, k) = -\underline{Q(\mathbf{r}, k)} \\ \text{Unknown boundary condition on room surface} \end{array} \right.$$

**Source distribution**

# Inhomogeneous Sound Field Reconstruction

- $u(\mathbf{r})$  is represented by the sum of particular and homogeneous solutions:

$$u(\mathbf{r}) = u_P(\mathbf{r}) + u_H(\mathbf{r})$$

- $u_P(\mathbf{r})$  can be obtained by convolution of source distribution and free-field Green's func.

$$u_P(\mathbf{r}) = \int_{\mathbf{r}' \in \Omega} Q(\mathbf{r}') G(\mathbf{r}|\mathbf{r}') d\mathbf{r}' \quad \left[ G(\mathbf{r}|\mathbf{r}') = \frac{e^{jk\|\mathbf{r}-\mathbf{r}'\|_2}}{4\pi\|\mathbf{r}-\mathbf{r}'\|_2} \right]$$

- Integral form of  $u(\mathbf{r})$  :

$$u(\mathbf{r}) = \int_{\mathbf{r}' \in \Omega} Q(\mathbf{r}') G(\mathbf{r}|\mathbf{r}') d\mathbf{r}' + u_H(\mathbf{r})$$

➡ Estimate  $u(\mathbf{r})$  and  $Q(\mathbf{r})$  from measurements  $u(\mathbf{r}_m)$

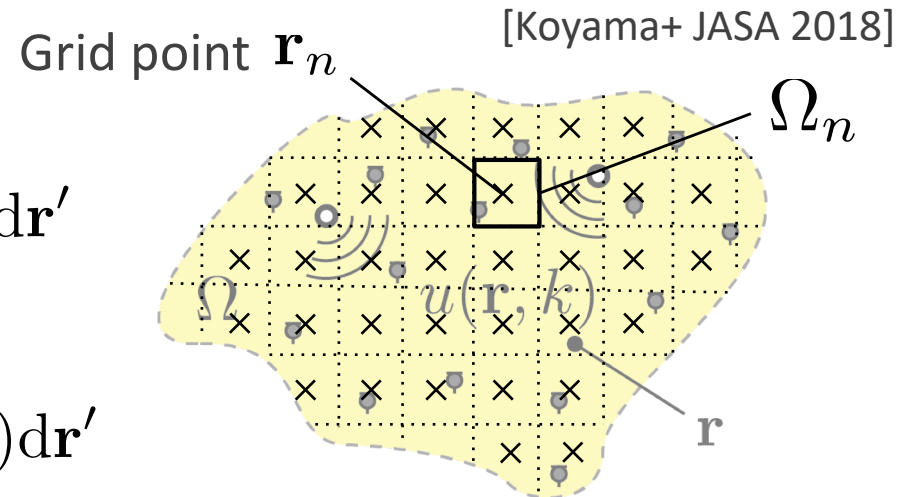
**Some constraints on source distribution is required to make this problem solvable**

# Sparse Sound Field Decomposition

## ➤ Discretization of region $\Omega$

$$u_P(\mathbf{r}) = \sum_{n=1}^N \int_{\mathbf{r}' \in \Omega_n} Q(\mathbf{r}') G(\mathbf{r}|\mathbf{r}') d\mathbf{r}'$$

$$\approx \sum_{n=1}^N G(\mathbf{r}|\mathbf{r}_n) \int_{\mathbf{r}' \in \Omega_n} Q(\mathbf{r}') d\mathbf{r}'$$



➡  $u(\mathbf{r}) \approx \sum_{n=1}^N G(\mathbf{r}|\mathbf{r}_n) \int_{\mathbf{r}' \in \Omega_n} Q(\mathbf{r}') d\mathbf{r}' + u_H(\mathbf{r})$

## ➤ Matrix form by using dictionary matrix $\mathbf{D} \in \mathbb{C}^{M \times N}$ consisting of free-field Green's func. (i.e., monopoles)

$$\mathbf{y} = \mathbf{D}\mathbf{x} + \mathbf{z}$$

$$\begin{cases} \mathbf{y} = [u(\mathbf{r}_1), \dots, u(\mathbf{r}_M)]^\top \\ \mathbf{x} = \left[ \int_{\Omega_1} Q(\mathbf{r}') d\mathbf{r}', \dots, \int_{\Omega_N} Q(\mathbf{r}') d\mathbf{r}' \right]^\top \end{cases}$$

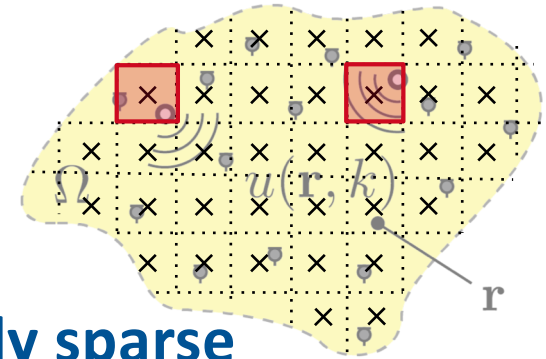
# Sparse Sound Field Decomposition

[Koyama+ JASA 2018]

- Linear eq. of measurement model

$$\mathbf{y} = \underbrace{\mathbf{D}\mathbf{x}}_{\text{Direct source component}} + \underbrace{\mathbf{z}}_{\text{Reverberant component}}$$

Direct source component      Reverberant component



- ➡ Assume that source distribution is spatially sparse

$$\mathbf{y} = \mathbf{D}\mathbf{x} + \mathbf{z}$$
A diagram illustrating the linear equation  $\mathbf{y} = \mathbf{D}\mathbf{x} + \mathbf{z}$  using colored blocks. The vector  $\mathbf{y}$  is represented by a vertical column of 8 colored blocks (red, blue, green, yellow, green, magenta, blue, green). The matrix  $\mathbf{D}$  is represented by a 8x10 grid of colored blocks. The vector  $\mathbf{x}$  is represented by a vertical column of 10 blocks, where most are white and one is green. The vector  $\mathbf{z}$  is represented by a vertical column of 8 colored blocks (green, yellow, red, blue, magenta, blue, orange). The equation is shown as  $\mathbf{y} = \mathbf{D}\mathbf{x} + \mathbf{z}$ .

- Optimization problem for *sparse sound field decomposition*

$$\underset{\mathbf{x}}{\text{minimize}} \quad \frac{1}{2} \|\mathbf{y} - \mathbf{D}\mathbf{x}\|_2^2 + \lambda \|\mathbf{x}\|_p^p \quad (0 < p \leq 1)$$

Sparsity promoting penalty term

# Mixed-norm Penalty for Group Sparsity

[Murata+ IEEE TSP 2018]

- Measurement for each time-frequency bin

$$\mathbf{y}_{t,f} = \mathbf{D}_f \mathbf{x}_{t,f} + \mathbf{z}_{t,f}$$

Indexes of time-frequency bins:  $\begin{cases} t \in \{1, \dots, T\} \\ f \in \{1, \dots, F\} \end{cases}$

- Group sparsity for robust and accurate decomposition
  - **Sound sources are static for several time frames**
  - **Acoustic source signals have a broad frequency band**

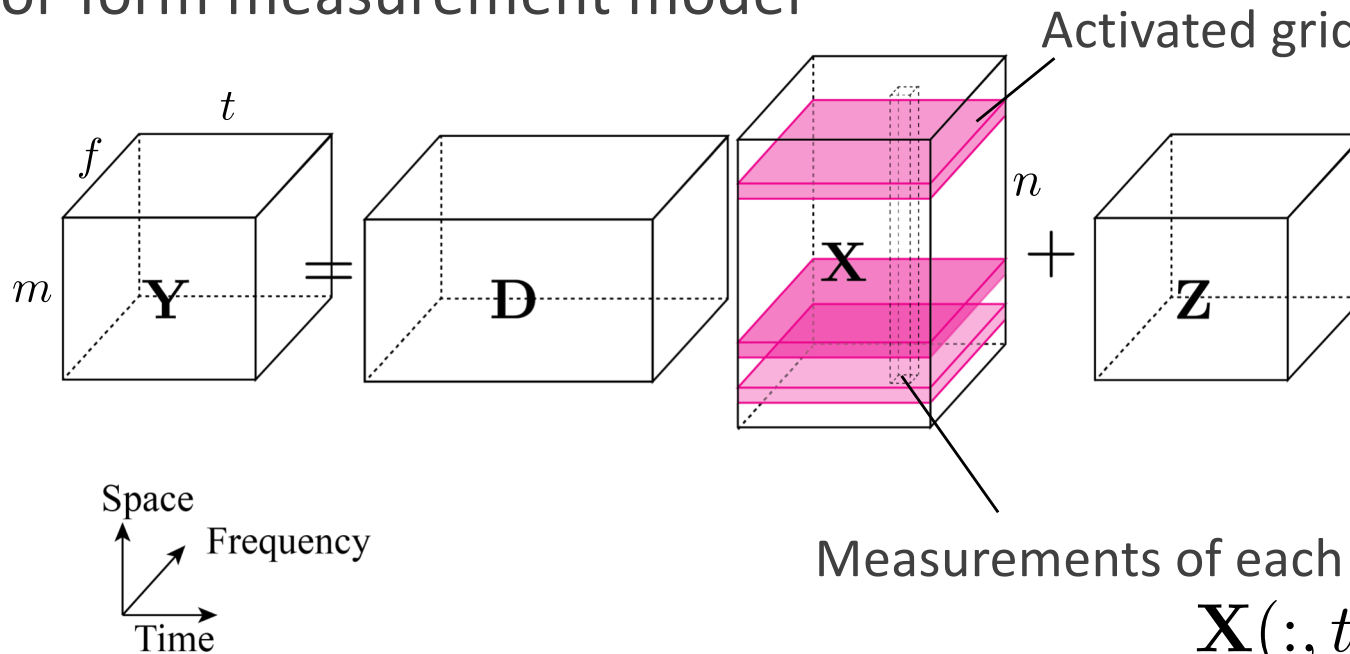
**Each  $\mathbf{x}_{t,f}$  will have same sparsity pattern**



# Mixed-norm Penalty for Group Sparsity

[Murata+ IEEE TSP 2018]

## ➤ Tensor-form measurement model



## ➤ Optimization problem for group sparse decomposition

$$\underset{\mathbf{X}}{\text{minimize}} \quad \frac{1}{2} \sum_{t,f} \|\mathbf{y} - \mathbf{D}\mathbf{x}\|_2^2 + \lambda \mathcal{J}_{p,2,2}(\mathbf{X}) \quad (0 < p \leq 1)$$

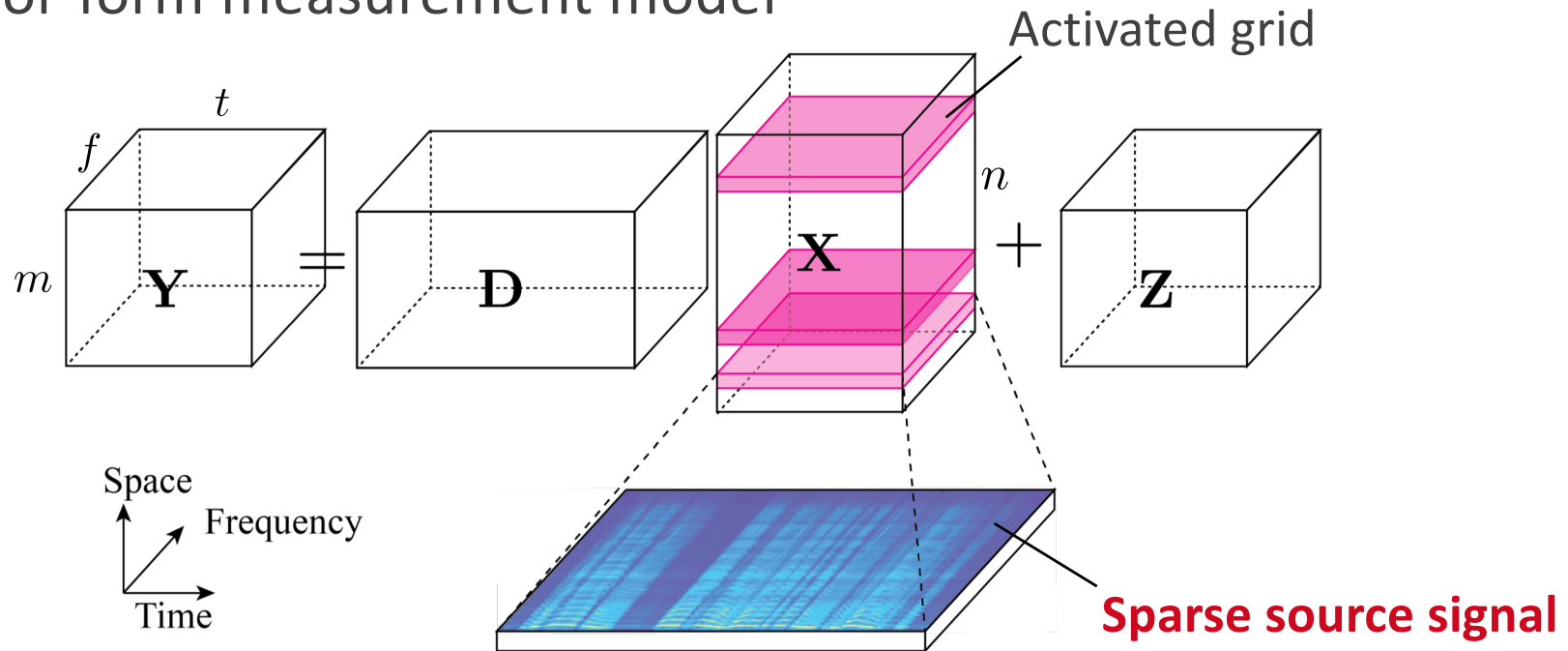
$$\mathcal{J}_{p,2,2}(\mathbf{X}) = \sum_n \left( \sum_{t,f} |\mathbf{X}(n, t, f)|^2 \right)^{\frac{p}{2}}$$

**Penalty term for promoting group sparsity ( $\ell_{p,2,2}$ -norm)**

# Mixed-norm Penalty for Group Sparsity

[Murata+ IEEE TSP 2018]

## ➤ Tensor-form measurement model



## ➤ Optimization problem for multidimensional sparsity

$$\underset{\mathbf{X}}{\text{minimize}} \quad \frac{1}{2} \sum_{t,f} \|\mathbf{y} - \mathbf{D}\mathbf{x}\|_2^2 + \lambda \mathcal{J}_{p,q,q}(\mathbf{X}) \quad (0 < p \leq q \leq 1)$$

$$\mathcal{J}_{p,q,q}(\mathbf{X}) = \sum_n \left( \sum_{t,f} |\mathbf{X}(n, t, f)|^q \right)^{\frac{p}{q}}$$

**Multidimensional mixed-norm penalty term ( $\ell_{p,q,q}$ -norm)**

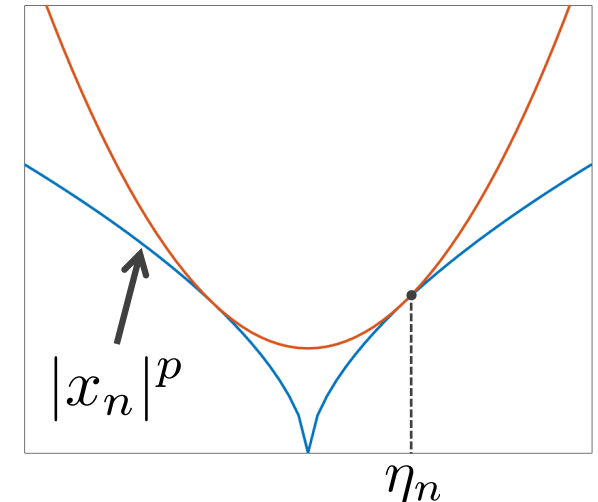
# Mixed-norm Penalty for Group Sparsity

[Murata+ IEEE TSP 2018]

- Optimization problem using  $\ell_p$ -norm penalty term

$$\underset{\mathbf{x}}{\text{minimize}} \frac{1}{2} \|\mathbf{y} - \mathbf{D}\mathbf{x}\|_2^2 + \lambda \|\mathbf{x}\|_p^p$$

**Non-convex problem for  $p < 1$**



- Majorization-minimization (MM) algorithm
  - Construct surrogate function and alternately update the parameters

$$\|\mathbf{x}\|_p^p = \sum_n |x_n|^p$$

**Upper-bounded by quadratic func.**

$$\leq \frac{1}{2} \sum_n \{p\eta_n^{p-2} x_n^2 + (2-p)\eta_n^p\} \quad (\text{Equality holds for } x_n = \eta_n)$$

➡ **Iteratively reweighted least-squares algorithm**

[Gorodnitsky+ 1997]

# Mixed-norm Penalty for Group Sparsity

[Murata+ IEEE TSP 2018]

- Surrogate func. for mixed-norm penalty term

$$\begin{aligned}
 \mathcal{J}_{p,q,q}(\mathbf{X}) &= \sum_n \left( \sum_{t,f} (|\mathbf{X}(n,t,f)|^2)^{\frac{q}{2}} \right)^{\frac{p}{q}} \\
 &\leq \sum_{n,t,f} \frac{p}{2} \eta_n^{\frac{p}{q}-1} \eta_{n,t,f}^{\frac{q}{2}-1} |\mathbf{X}(n,t,f)|^2 + C \\
 &= \mathcal{J}_{p,q,q}^+(\mathbf{X}|\mathbf{\Xi})
 \end{aligned}
 \quad \left\{ \begin{array}{l} \eta_n = \sum_{t,f} |\mathbf{\Xi}(n,t,f)|^q \\ \eta_{n,t,f} = |\mathbf{\Xi}(n,t,f)|^2 \end{array} \right.$$

(Equality holds for  $\mathbf{X} = \mathbf{\Xi}$ )

- Alternately update the parameters

$$\left\{ \begin{array}{l} \mathbf{x}_{t,f}^{(i+1)} = \arg \min_{\mathbf{x}_{t,f}} \frac{1}{2} \sum_{t,f} \|\mathbf{y}_{t,f} - \mathbf{D}_f \mathbf{x}_{t,f}\|_2^2 + \frac{1}{2} \lambda \mathbf{x}_{t,f}^H \mathbf{P}_{t,f}^{(i)} \mathbf{x}_{t,f} \\ \mathbf{\Xi}^{(i)} = \mathbf{X}^{(i)} \end{array} \right.$$

$$\left( \mathbf{P}_{t,f}^{(i)} \right)_{n,n'} = \begin{cases} p \left( \eta_n^{(i)} \right)^{\frac{p}{q}-1} \left( \eta_{n,t,f}^{(i)} \right)^{\frac{q}{2}-1}, & n = n' \\ 0, & n \neq n' \end{cases}$$

➡ **Iteratively reweighted least-squares algorithm**

# Mixed-norm Penalty for Group Sparsity

[Murata+ IEEE TSP 2018]

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**Algorithm 1** Sparse sound field decomposition algorithm using  $\ell_{p,q,q}$ -norm penalty.

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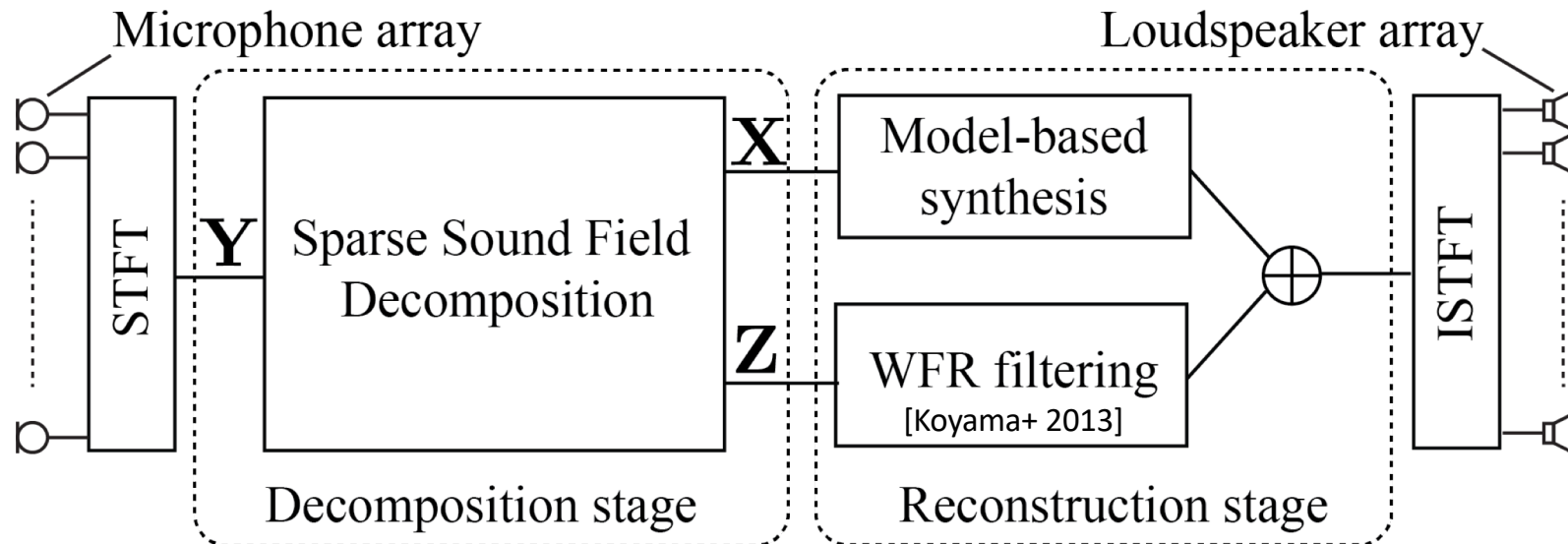
```
Initialize  $\mathbf{X}^{(0)}$ ,  $i = 0$ 
while loop  $\neq 0$  do
   $\Xi^{(i)} = \mathbf{X}^{(i)}$ 
   $\eta_n^{(i)} = \sum_{t,f} |\Xi^{(i)}(n, t, f)|^q$  for  $\forall n$ 
  for  $t = 1$  to  $T$  do
    for  $f = 1$  to  $F$  do
       $\eta_{n,t,f}^{(i)} = |\Xi^{(i)}(n, t, f)|^2$  for  $\forall n$ 
       $\mathbf{W}_{t,f}^{(i)} = \text{diag} \left( \sqrt{p^{-1} (\eta_n^{(i)})^{1-p/q} (\eta_{n,t,f}^{(i)})^{1-q/2}} \right)$ 
       $\mathbf{A}_{t,f}^{(i)} \leftarrow \mathbf{D}_f \mathbf{W}_{t,f}^{(i)}$ 
       $\mathbf{x}_{t,f}^{(i+1)} \leftarrow \mathbf{W}_{t,f}^{(i)} (\mathbf{A}_{t,f}^{(i)})^H (\mathbf{A}_{t,f}^{(i)} (\mathbf{A}_{t,f}^{(i)})^H + \lambda \mathbf{I})^{-1} \mathbf{y}_{t,f}$ 
    end for
  end for
   $i \leftarrow i + 1$ 
  if stopping condition is satisfied then
    loop = 0
  end if
end while
```

---

Monotonic non-increase of objective func. is guaranteed

# Application of Sparse Decomposition

## Sparse decomposition for recording and reproduction [Koyama+ JASA 2018]



### ➤ **Decomposition stage:**

- Group sparse decomposition of **Y** into **X** and **Z**

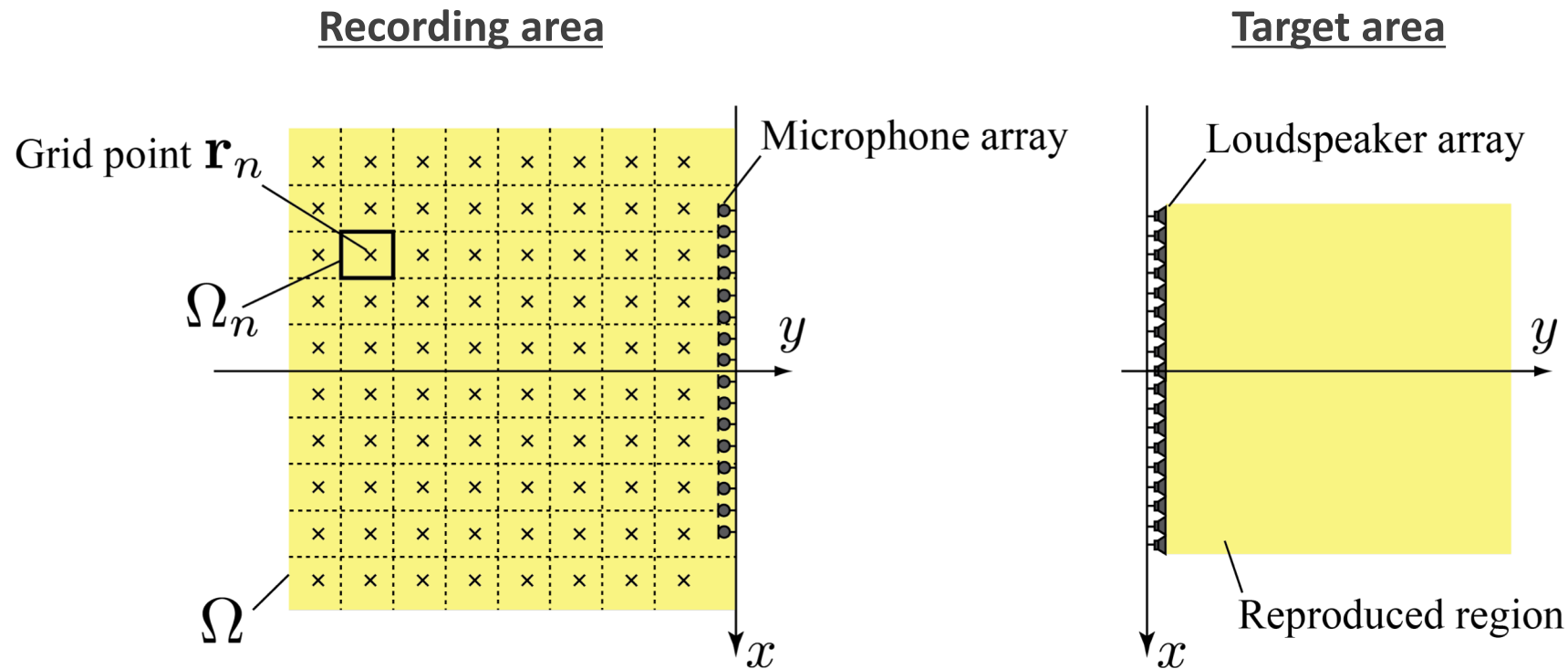
### ➤ **Reconstruction stage:**

- **X** and **Z** are separately converted into driving signals
- Loudspeaker driving signals as sum of two components

# Several Extensions of Sparse Decomposition

- **Non-Gaussian reverberation** [Koyama+ IEEE WASPAA 2017]
  - Explicit modeling of reverberant component such as sparsity in plane-wave domain and low-rankness
  - ADMM algorithm for solving joint optimization
- **Gridless sound field decomposition** [Takida+ IEEE SAM 2018]
  - Approximate sources as delta functions
  - Reciprocity gap functional in spherical harmonic domain
  - Closed-form solution using Hankel matrix

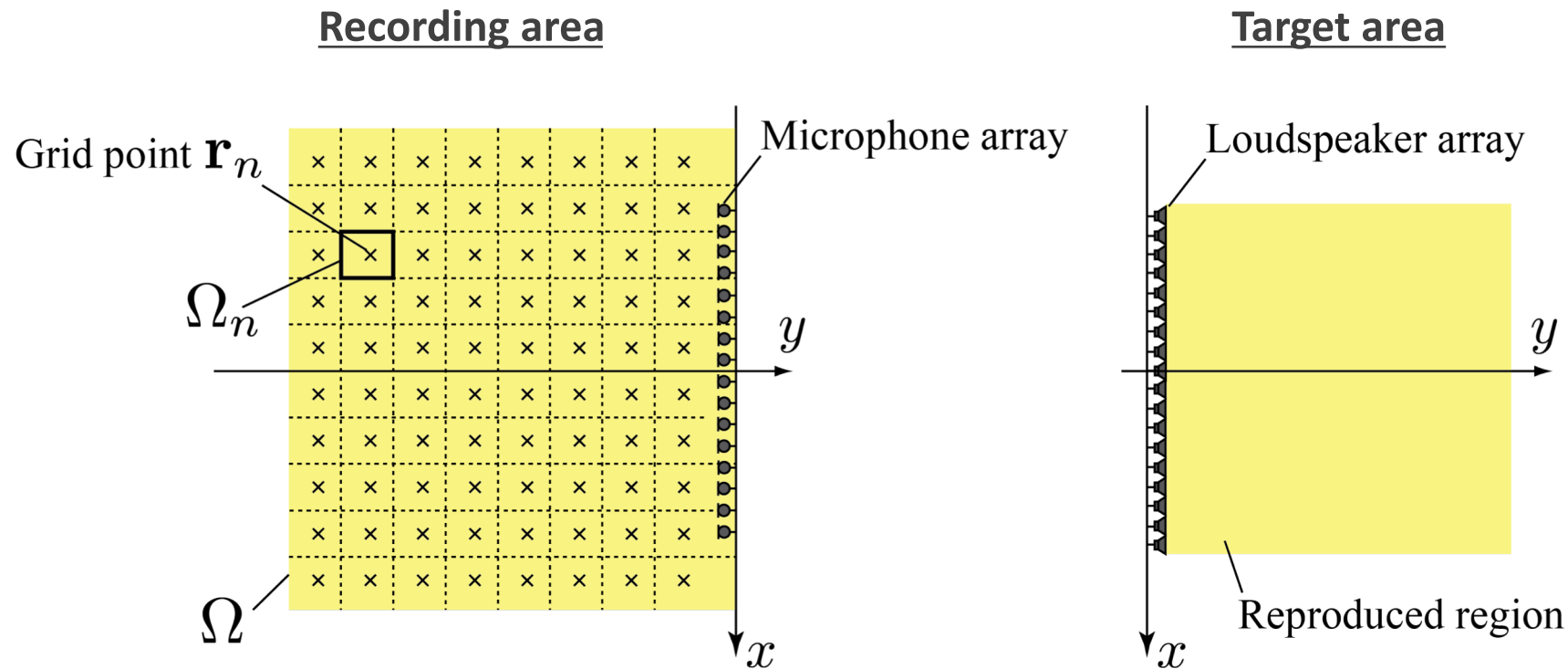
# Experiments in Recording and Reproduction



- Evaluation in sound field recording and reproduction using linear arrays
- Proposed method (**Proposed**) was compared with plane-wave-decomposition-based method (**WFR**) [Koyama+ 2013]
- 32 microphones (0.06 m intervals) and 48 loudspeakers (0.04 m intervals)
- $\Omega$ : Rectangular region of 2.4x2.4 m, Grid points: (0.01 m, 0.02 m) intervals



# Experiments in Recording and Reproduction



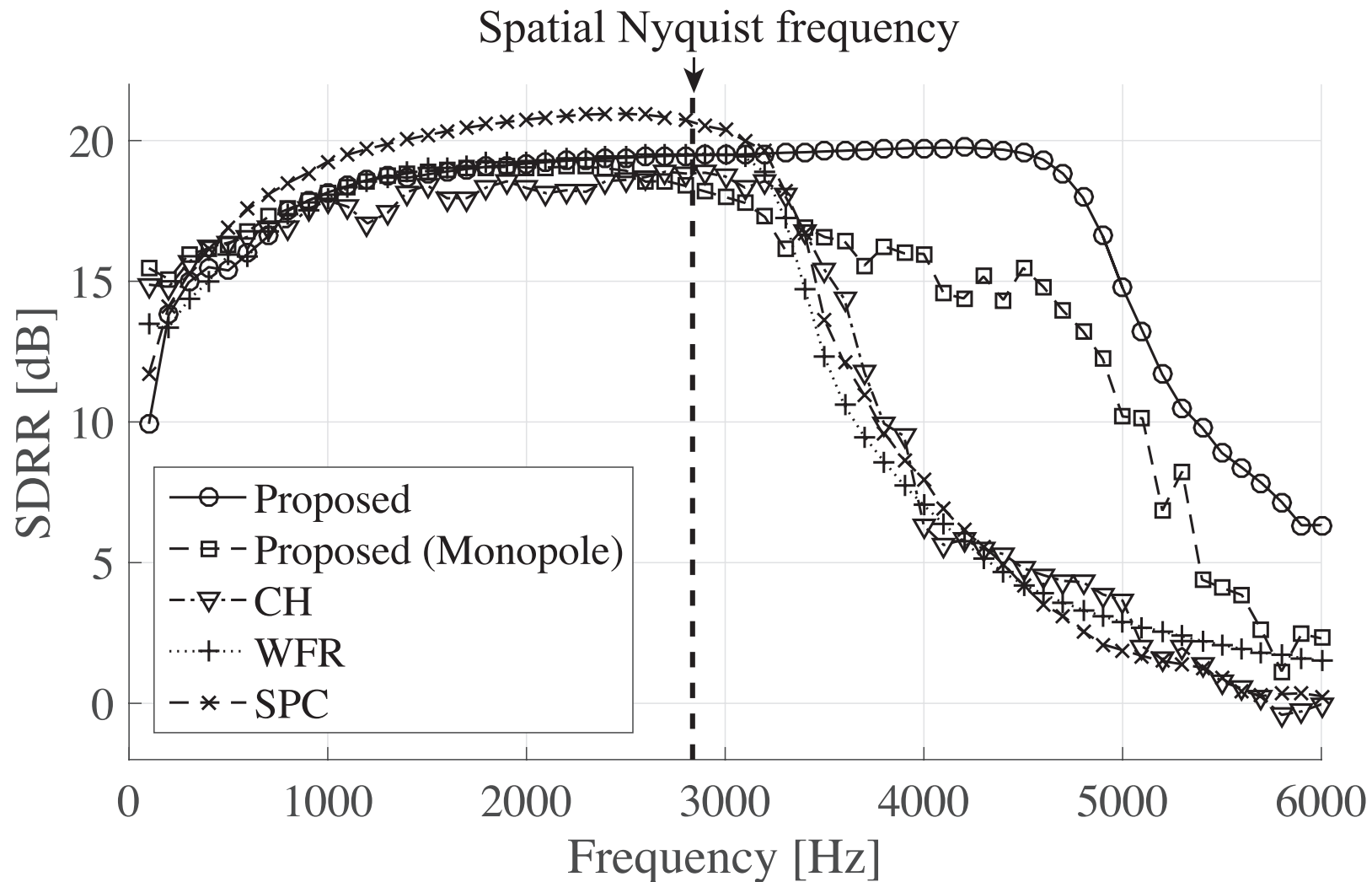
## ➤ Signal-to-distortion ratio of reproduction (SDRR)

$$\text{SDRR} = 10 \log_{10} \frac{\int |u_{\text{des}}(\mathbf{r}, k)|^2 d\mathbf{r}}{\int |u_{\text{des}}(\mathbf{r}, k) - u_{\text{syn}}(\mathbf{r}, k)|^2 d\mathbf{r}}$$

Desired pressure distribution
Synthesized pressure distribution

# Frequency vs. SDR

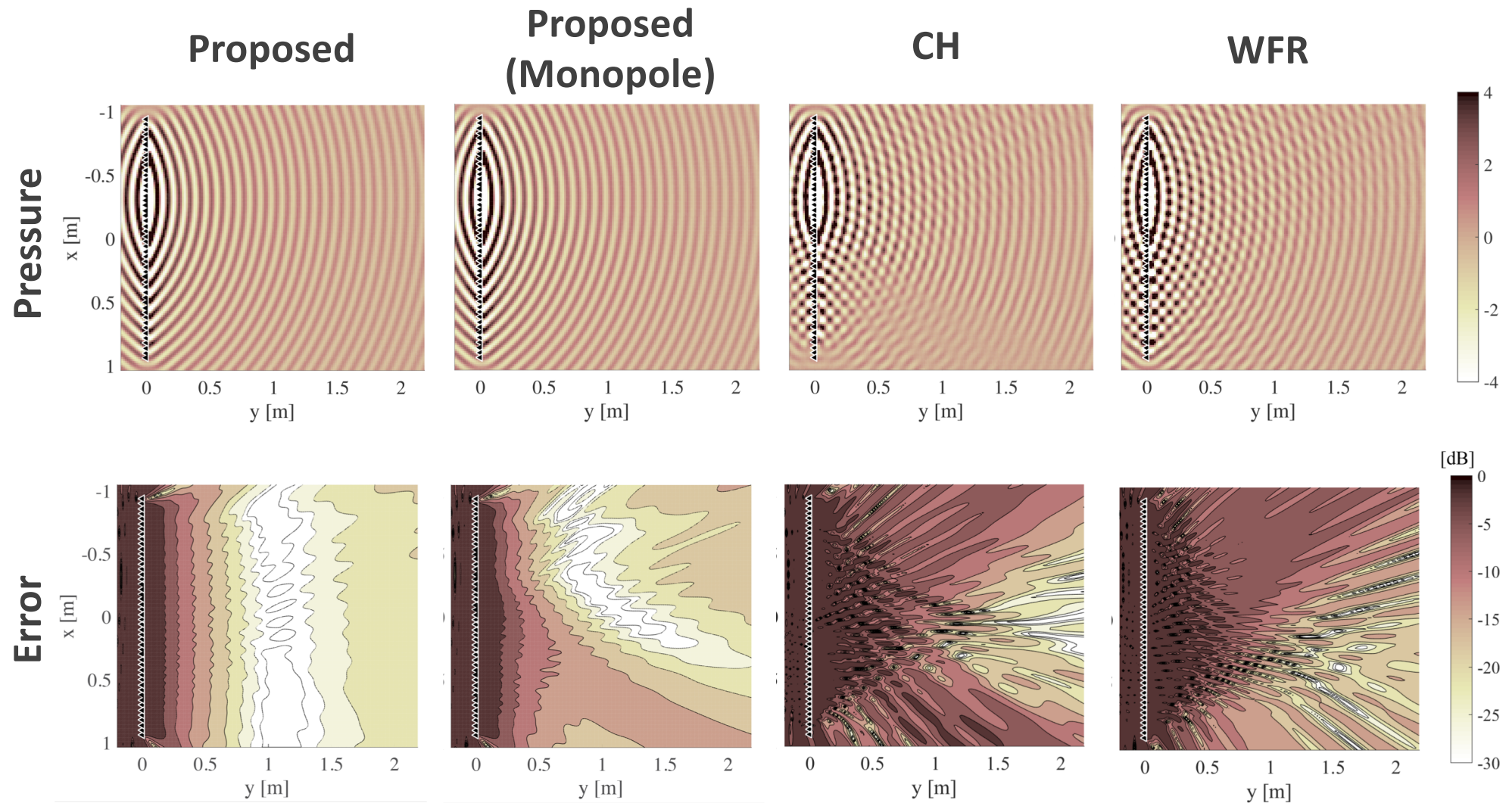
➤ Source location: (-0.32, -0.84, 0.0) m



**SDRRs above spatial Nyquist frequency were improved**

# Reproduced Pressure Distribution (4.0 kHz)

➤ Source location: (-0.32, -0.84, 0.0) m



**SDRR:** 19.7 dB

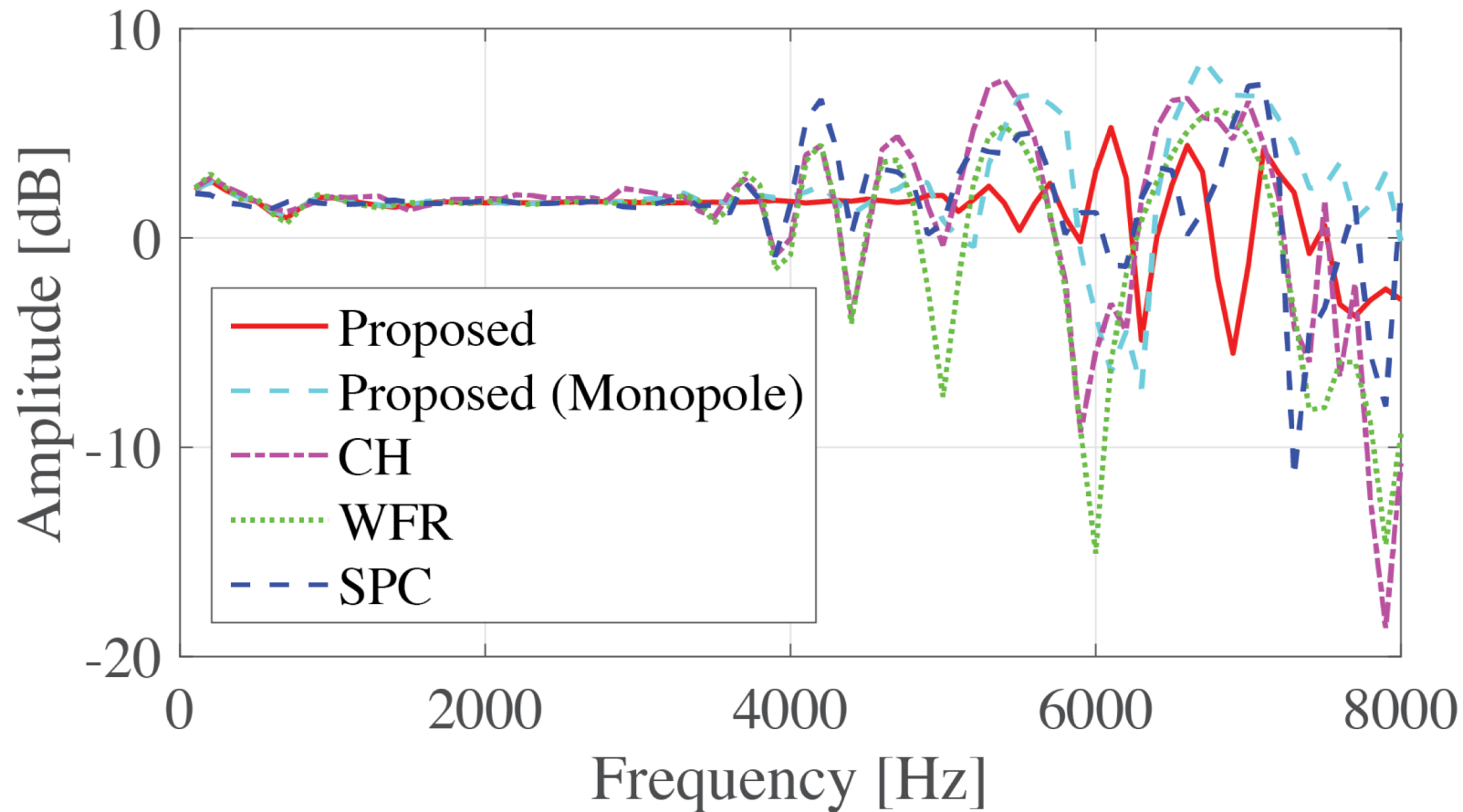
16.0 dB

6.3 dB

7.0 dB

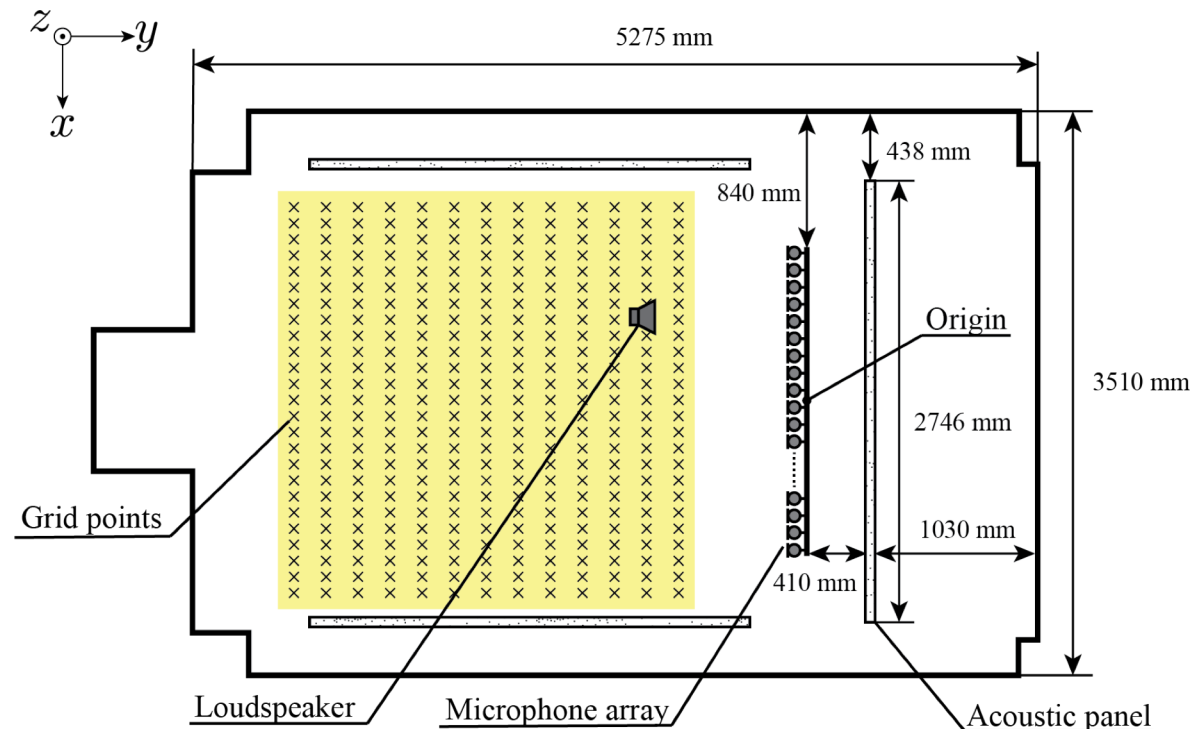
# Frequency Response of Reproduced Sound Field

➤ Frequency response at (0.0, 1.0, 0.0) m



**Reproduced frequency response was improved**

# Experiments Using Real Data

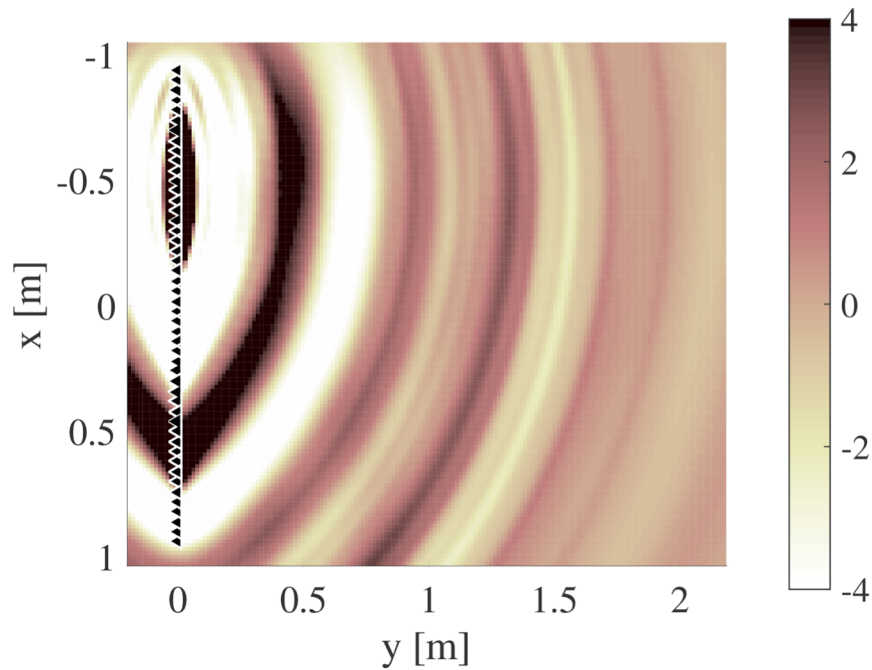


- Recording area was real environment using loudspeaker as primary source
- Target area was simulated as free field
- Source signal: speech

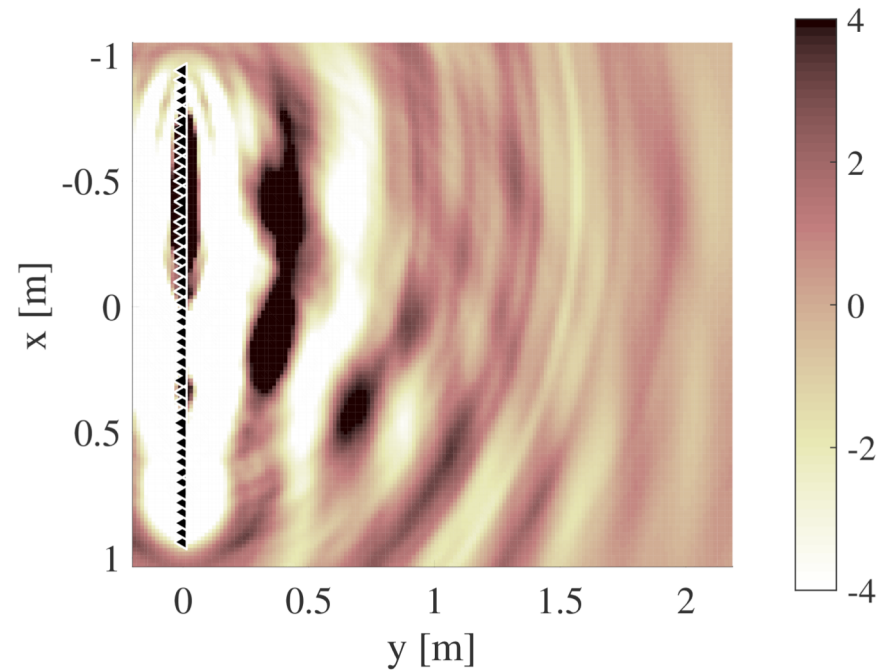
# Reproduced Pressure Distribution

- Loudspeaker at (-0.5, -1.0, 0.0) m, speech signal

**Proposed**



**WFR**



**Spatial aliasing artifacts are reduced by proposed method**



# Conclusion

- Sound field reconstruction inside source-free region
  - Decomposition into element solutions of Helmholtz eq.
  - Harmonic analysis of infinite orders
  - Sparse plane wave decomposition
- Sound field reconstruction inside region including sources
  - ill-posed problem - some constraints on source distribution is necessary
  - Sound field decomposition based on spatial sparsity of source distribution
  - Application to recording and reproduction and its experimental results

***Thank you for your attention!***

# Related publications (1/2)

- N. Ueno, S. Koyama, and H. Saruwatari, “Kernel ridge regression with constraint of Helmholtz equation for sound field interpolation,” *Proc. IWAENC*, 2018.
- Y. Takida, S. Koyama, and H. Saruwatari. “Exterior and interior sound field separation using convex optimization: comparison of signal models,” *Proc. EUSIPCO*, 2018.
- Y. Takida, S. Koyama, et al. “Gridless sound field decomposition based on reciprocity gap functional in spherical harmonic domain,” *Proc. IEEE SAM*, 2018.
- N. Ueno, S. Koyama, and H. Saruwatari, “Sound field reproduction with exterior radiation cancellation using analytical weighting of harmonic coefficients,” *Proc. IEEE ICASSP*, 2018.
- S. Koyama, et al. “Sparse sound field decomposition for super-resolution in recording and reproduction,” *JASA*, 2018.
- N. Murata, S. Koyama, et al. “Sparse representation using multidimensional mixed-norm penalty with application to sound field decomposition,” *IEEE Trans. Signal Process.*, 2018.
- N. Ueno, S. Koyama, and H. Saruwatari, “Sound field recording using distributed microphones based on harmonic analysis of infinite order,” *IEEE Signal Process. Letters*, 2018.
- S. Koyama and L. Daudet, “Comparison of reverberation models for sparse sound field decomposition,” *Proc. IEEE WASPAA*, 2017.
- S. Koyama, et al. “Effect of multipole dictionary in sparse sound field decomposition for super-resolution in recording and reproduction,” *Proc. ICSV*, 2017.
- N. Ueno, S. Koyama, and H. Saruwatari, “Listening-area-informed sound field reproduction with Gaussian prior based on circular harmonic expansion,” *Proc. HSCMA*, 2017.
- N. Murata, S. Koyama, et al. “Spatio-temporal sparse sound field decomposition considering acoustic source signal characteristics,” *Proc. IEEE ICASSP*, 2017.
- N. Ueno, S. Koyama, and H. Saruwatari, “Listening-area-informed sound field reproduction based on circular harmonic expansion,” *Proc. IEEE ICASSP*, 2017.



## Related publications (2/2)

- S. Koyama and H. Saruwatari, “Sound field decomposition in reverberant environment using sparse and low-rank signal models,” *Proc. IEEE ICASSP*, 2016.
- N. Murata, S. Koyama, *et al.* “Sparse sound field decomposition with multichannel extension of complex NMF,” *Proc. IEEE ICASSP*, 2016.
- S. Koyama, *et al.* “Structured sparse signal models and decomposition algorithm for super-resolution in sound field recording and reproduction,” *Proc. IEEE ICASSP*, 2015.
- S. Koyama, *et al.* “Sparse sound field representation in recording and reproduction for reducing spatial aliasing artifacts,” *Proc. IEEE ICASSP*, 2014.
- S. Koyama, *et al.* “Analytical approach to transforming filter design for sound field recording and reproduction using circular arrays with a spherical baffle,” *JASA*, 2016.
- S. Koyama, *et al.* “Source-location-informed sound field recording and reproduction,” *IEEE J. Sel. Topics Signal Process.*, 2015.
- J. Trevino, S. Koyama, *et al.* “Mixed-order ambisonics encoding of cylindrical microphone array signals,” *Acoust. Sci. Tech., Acoust. Letter*, 2014.
- S. Koyama, *et al.* “Wave field reconstruction filtering in cylindrical harmonic domain for with-height recording and reproduction,” *IEEE/ACM Trans. ASLP*, 2014.
- S. Koyama, *et al.* “Real-time sound field transmission system by using wave field reconstruction filter and its evaluation,” *IEICE Trans. Fundam.*, 2014.
- S. Koyama, *et al.* “Analytical approach to wave field reconstruction filtering in spatio-temporal frequency domain,” *IEEE Trans. ASLP*, 2013.
- S. Koyama, *et al.* “Reproducing virtual sound sources in front of a loudspeaker array using inverse wave propagator,” *IEEE Trans. ASLP*, 2012.